

Recap:

BBBK Y Hierarchy

$$d_t f_1 + v_1 \cdot \nabla_{\vec{r}_1} f_1 + \vec{F}_{\text{ext}} \cdot \nabla_{\vec{p}_1} f_1 = - \text{Solve } K_{12} \nabla_{\vec{p}_1} f_2$$

$$\left[ d_t + v_1 \cdot \nabla_{\vec{r}_1} + v_2 \cdot \nabla_{\vec{r}_2} + \vec{F}_1 \cdot \nabla_{\vec{p}_1} + \vec{F}_2 \cdot \nabla_{\vec{p}_2} + K_{12} (\nabla_{\vec{p}_1} - \nabla_{\vec{p}_2}) \right] f_2 = - \text{Solve } (K_{13} \nabla_{\vec{p}_1} + K_{23} \nabla_{\vec{p}_2}) f_3$$

Coupled set of evolution equations for reduced N-body distribution

→ used for truncations

① Long range interactions

mean-field approximations

$$f_2 \approx f_1 f_1$$

$$\text{Solve } K_{12} \nabla_{\vec{p}_1} f_2 = \underbrace{\left[ \text{Solve } K_{12} f_1(\vec{r}_1, \vec{p}_1, \vec{p}_2) \right]}_{\vec{F}_{\text{int}}(\vec{r}_1)} \nabla_{\vec{p}_1} f_1$$

Equal at 1 and 2-body level

② Scales on BBGKly Hierarchy

Spoken words  $\tau_S \sim \left( \nu \frac{\rho_{eff}}{f} \right)^{-1}$

electron pres  $\tau_e \sim \left( \frac{\nu}{k_B T} \rho_{eff} W \right)^{-1}$

$\tau_S \ll \tau_e$

more importantly

$\tau_e \sim \left( \frac{\nu}{k_B T} \rho_{eff} W \right)^{-1}$

Since for short range interactions

we have hierarchy of scales

$\tau_e \ll \tau_S, \tau_e$

$\frac{\rho_{eff} W}{W} \gg \frac{\rho_{eff}}{f}$

Now estimate  $\langle \tau \rangle$  either in two different ways on LHS (for  $N \gg 2$ ) and on the RHS of BBGKY

Need to relate  $f_2$  and  $f_{2+1}$  to estimate importance of RHS terms

Generally  $f_2 = \frac{1}{N-2} \int \frac{d^3 p_3}{(2\pi\hbar)^3} f_3 \approx \frac{V}{N} \int \frac{d^3 p_3}{(2\pi\hbar)^3} f_3$

Here for RHS terms spatial integral is cut-off by range of interactions

$$\int d^3 p_3 K_{13} v_{p_1} f_3 \sim r_0^3 K_{13}(r_0) \int \frac{d^3 p_3}{(2\pi\hbar)^3} f_3$$

So

$$\frac{\int d^3 p_3 K_{13} v_{p_1} f_3}{K_{12} v_{p_1} f_2} \sim \frac{r_0^3}{d^3} \ll 1$$

where  $d \approx \frac{V}{N}$  is interparticle distance

Normally RHS is small compared to LHS in dilute gas

# IV. Kinetic theory / Boltzmann equation

Specify assumptions

Dilute gas  $\frac{N}{V} = d^3$  where  $d$  is interparticle distance

of

classical particles

meaning that the de Broglie wave-length (typical size of QM wave package)  $\lambda_{\text{DeBroglie}} \sim \frac{2\pi\hbar}{\sqrt{2\pi m k_B T}}$  is small compared to interparticle distances

$$\lambda_{\text{DeBroglie}} \ll d$$

subject to

short range interactions

such that  $W(r) \sim 0$  for

$r \gg r_0$  where  $r_0$

is  $r_0$  a range of interaction

which is assumed to be

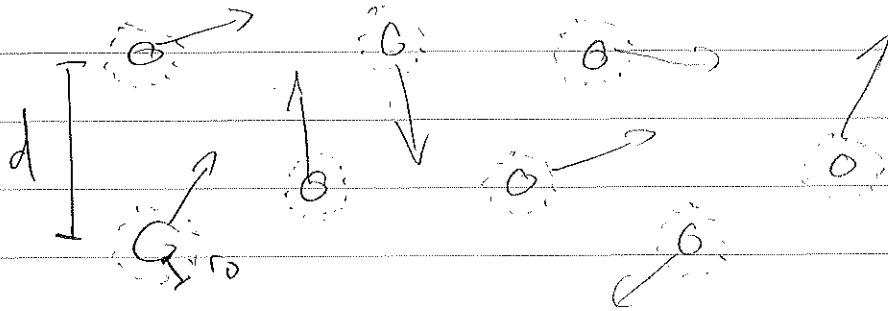
much smaller than the

typical interparticle distances

We require  $r_0 \ll d$  such that

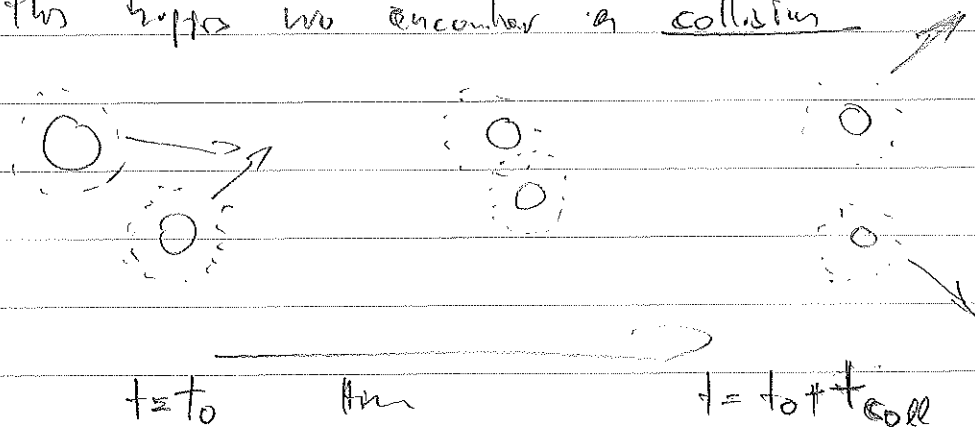
particles in the gas move freely most of the time except for the rare instances where they interact with each other

So the generic picture is as follows



Individual particles fly for a long distance  $\lambda_{mp} \sim v \tau_{mp}$  before they interact with another particle

When this happens we encounter a collision



which occurs on a time scale

$$t_{coll} \ll \tau_{mp}$$

Subsequently the particles again travel for a long distance  $\sim \lambda_{mp}$  before encountering a new collision event with a different particle, very far away

Ultimately, we are interested in describing dynamics which leads to equilibrium of the system

→ will require many collisions  
 $t_{eq} \gg t_{coll}$

Hence we are not necessarily interested in details on very short time scales of single collision time or very short distance scales  $\sim r_0$

Idea: Consider coarse grained description on two scales  $\Delta t \gg t_{coll}$  and length scale  $\Delta l \gg r_0$

Except for the effect of collisions particles free-stream on these two scales =

Coarse grained evolution of one body density governed by

$$\frac{d}{dt} f_i + \vec{v}_i \cdot \vec{\nabla}_{\vec{r}_i} f_i + \vec{F} \cdot \vec{\nabla}_{\vec{p}_i} f_i = \left( \frac{df_i}{dt} \right)_{coll}$$

where on scales  $\Delta t \gg t_{coll}$  and  $\Delta l \gg r_0$

collisions described by

$\left. \frac{df_i}{dt} \right|_{coll}$  appear as local & instantaneous events

Now what is  $\frac{\partial \Gamma_1}{\partial t} \Big|_{\text{coll}}$ ? We know from BBGKY that this corresponds to effect of two-body collisions

$$\frac{\partial \Gamma_1}{\partial t} \Big|_{\text{coll}} = - \int d\mathbf{r}_2 \int d\mathbf{p}_2 \bar{V}_{12} \frac{1}{2} (\Gamma_1 \bar{\Gamma}_1 \bar{\Gamma}_2 \bar{\Gamma}_2)$$

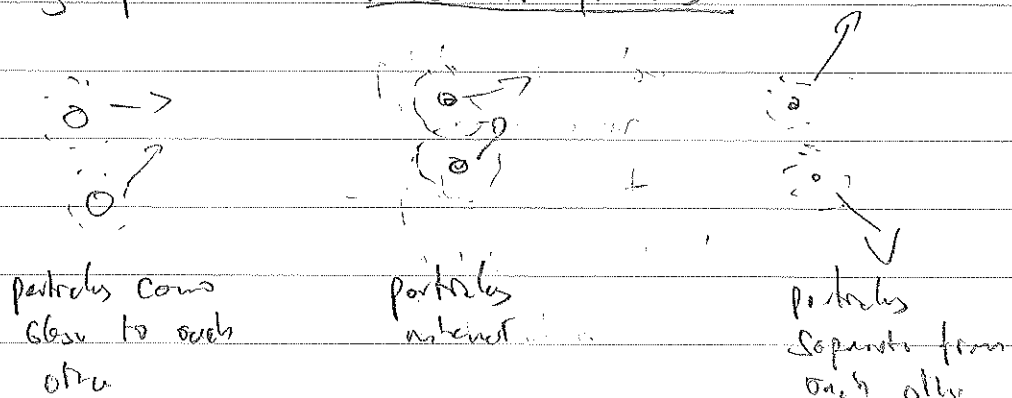
and we will employ this shortly to derive collisional kinetic macroscopically

However before doing so, it is useful first to consider homogeneous derivatives

## Hourly derivation of Boltzmann equation

What is effect of collisions, i.e. short range interactions in dilute gas on two scales  $\Delta t \Rightarrow$  collision and length scales  $\Delta l \Rightarrow r_0$

Starting from two uncorrelated particles



even though interaction induces correlation between two particles, the two particles will separate for a space before they interact again with another particle

molecular chaos: can assume that interacting particles are uncorrelated with each other before every interaction

Can estimate number of collisions per unit time by Stosszahl ansatz

Mainly in coarse grained approach particles have to be at same point  $\vec{r}_1 \approx \vec{r}_2$  for collision to take place

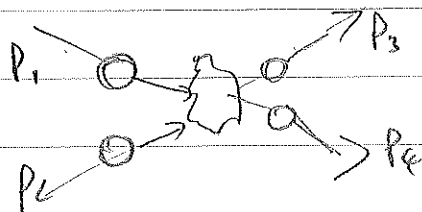


Since particles are assumed to be uncorrelated

$$\frac{dN_{\text{coll}}}{dt} \propto f_1(t, \vec{r}_1, \vec{p}_1) f_2(t, \vec{r}_2, \vec{p}_2)$$

Since we have coarse grained description we no longer know exact details of individual scattering, e.g. impact parameter etc

→ Outcome of scattering event will be statistically distributed



Need to integrate Distribution of possible outcomes →

$$\tilde{w}(p_1, p_2 \rightarrow p_3, p_4)$$

Of course  $\tilde{w}(p_1, p_2 \rightarrow p_3, p_4)$  should satisfy basic principles of energy & momentum conservation

$$\tilde{w}(p_1, p_2 \rightarrow p_3, p_4) = w(p_1, p_2 \rightarrow p_3, p_4) \left(\frac{2\pi}{h}\right)^3 \delta\left(\frac{p_1^2}{2m} + \frac{p_2^2}{2m} - \frac{p_3^2}{2m} - \frac{p_4^2}{2m}\right) \delta^{(3)}(\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4)$$

as well as usual symmetry relations eg under parity ( $\vec{p}_i \rightarrow -\vec{p}_i$ ) and time reversal ( $\vec{p}_1, \vec{p}_2, \vec{p}_3, \vec{p}_4 \rightarrow -\vec{p}_3, -\vec{p}_4, -\vec{p}_1, -\vec{p}_2$ )

Concomitantly these imply

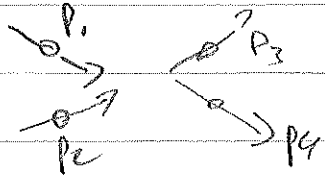
$$\tilde{w}(\vec{p}_1, \vec{p}_2 \rightarrow \vec{p}_3, \vec{p}_4) = \tilde{w}(\vec{p}_3, \vec{p}_4 \rightarrow \vec{p}_1, \vec{p}_2)$$

i.e. probability of reverse process is identical

Now how do individual collisions affect one body distribution

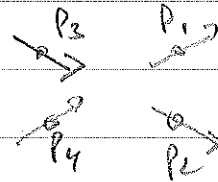
$$\frac{\partial f}{\partial t}(t, \vec{r}, \vec{p}_i)$$

need to consider two effects



particles with  $\vec{p}_i$  interact with other particles  $\vec{p}_j$

→ loss of particles with number  $\vec{p}_i$



interactions of  $\vec{p}_3$  and  $\vec{p}_4$  produce particles  $\vec{p}_1$  and  $\vec{p}_2$

→ gain of particles with number  $\vec{p}_i$

Combining the two possibilities, averaging over all possible outcomes, weighted by statistical distribution  $\tilde{w}$  and Stoffzahl we get the Boltzmann equation

$$\left( \frac{\partial}{\partial t} + \vec{v}_i \cdot \vec{\nabla}_{\vec{r}_i} + \vec{F} \cdot \vec{\nabla}_{\vec{p}_i} \right) f_i(t, \vec{r}_i, \vec{p}_i) = C[f_i](t, \vec{r}_i, \vec{p}_i)$$

constant for double counting of identical pairs 1/2 and 3/4
collision integral

$$C[f_i](t, \vec{r}_i, \vec{p}_i) = - \frac{1}{2} \int \frac{d^3 p_2}{(2\pi\hbar)^3} \frac{d^3 p_3}{(2\pi\hbar)^3} \frac{d^3 p_4}{(2\pi\hbar)^3} \tilde{w}(\vec{p}_1, \vec{p}_2 \rightarrow \vec{p}_3, \vec{p}_4) \times \left[ \underbrace{f(t, \vec{r}_i, \vec{p}_1) + f(t, \vec{r}_i, \vec{p}_2)}_{\text{loss}} - \underbrace{f(t, \vec{r}_i, \vec{p}_3) + f(t, \vec{r}_i, \vec{p}_4)}_{\text{gain}} \right]$$

Comments: Boltzmann equation closed at one-body level

→ molecular chaos assumption / Stobbehl ansatz effectively truncates the BBGKY hierarchy

Consistent picture in spirit of two  
make the equation local in space  
and time

→ all single particle distributions evaluated  
at the same position  $\vec{r}_i$  and time  $t$

One often denotes

$$f_1(t, \vec{r}_1, \vec{p}_1) = f(t, \vec{r}, \vec{p}) = f$$

$$f_2(t, \vec{r}_1, \vec{p}_2) = f_2$$

$$f_3(t, \vec{r}_1, \vec{p}_3) = f_3$$

⋮

$$\left( \partial_t + \vec{v} \cdot \nabla_{\vec{r}} + \vec{F} \cdot \nabla_{\vec{p}} \right) f = -\frac{1}{2} \int_{\vec{p}_2, \vec{p}_3} \tilde{W}(\vec{p}_2 \rightarrow \vec{p}_3) \left[ f_2 f_3 - f^2 \right]$$

$$\text{where } \int_{\vec{p}_i} = \int \frac{d^3 p_i}{(2\pi\hbar)^3}$$

Besides classical particles as considered in our example can also derive Boltzmann equation in quantum theory ( $\leadsto$  QFT)

bosons: Bose enhancement

$$C[f] = -\frac{1}{2} \int_{p_2 p_3 p_4} \tilde{w}(p_2 \rightarrow p_3 p_4) \left[ f_{1/2} (1+f_3) (1+f_4) - f_3 f_4 (1+f) (1+f_{1/2}) \right]$$

fermions: Pauli blocking

$$C[f] = -\frac{1}{2} \int_{p_2 p_3 p_4} \tilde{w}(p_2 \rightarrow p_3 p_4) \left[ f_{1/2} (1-f_3) (1-f_4) - f_3 f_4 (1+f) (1-f_{1/2}) \right]$$

where classical particle limit is recovered for  $f \ll 1$

Besides classical particles, the Boltzmann equation for bosons has an interesting limit  $f \gg 1$  when only Bose enhanced processes are allowed

classical folds/waves:

$$C[f] = -\frac{1}{2} \int_{p_2 p_3 p_4} \tilde{w}(p_2 \rightarrow p_3 p_4) \left[ f_{1/2} (1+f_3) - f_3 f_4 (1+f_{1/2}) \right]$$

which plays an important role e.g. in studying acoustic turbulence

## Derivation of collision term from BBGKY hierarchy

We start from evolution equation for  $f_2$

$$\left[ \frac{d}{dt} + v_1 \vec{\nabla}_{\mathbf{r}_1} + v_2 \vec{\nabla}_{\mathbf{r}_2} + \vec{F}_1 \cdot \vec{\nabla}_{\mathbf{p}_1} + \vec{F}_2 \cdot \vec{\nabla}_{\mathbf{p}_2} + k_{12} (\vec{\nabla}_{\mathbf{r}_1} - \vec{\nabla}_{\mathbf{r}_2}) \right] f_2 \\ = - \int (k_{13} \nabla_{\mathbf{p}_1} + k_{23} \nabla_{\mathbf{p}_2}) f_3 d\mathbf{r}_3$$

Since in a dilute gas the

RHS is suppressed by diluteness parameter

$$\frac{\int k_{12} \nabla_{\mathbf{p}_1} f_3 d\mathbf{r}_3}{k_{12} \nabla_{\mathbf{p}_1} f_2} \sim \frac{\rho \lambda^3}{d^3} \ll 1$$

this represents a higher order correlation and will be neglected

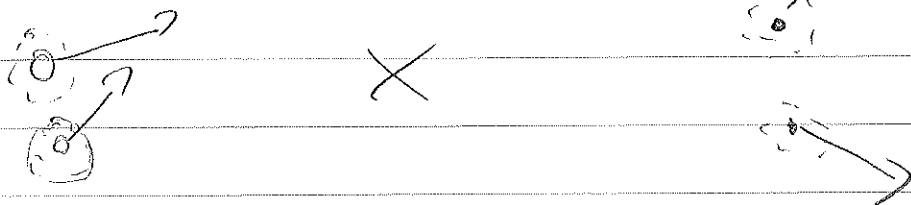
Note: On the level of hierarchy derivation neglected three body correlations of the arguments for molecular chaos

We have then closed the BBGKY hierarchy at the two-body level

Next we consider the evolution of two body  
density,

$$\left[ \frac{d}{dt} + \vec{v}_1 \cdot \hat{r}_1 + \vec{v}_2 \cdot \hat{r}_2 + \vec{F}_1 \cdot \vec{\nabla}_{\vec{p}_1} + \vec{F}_2 \cdot \vec{\nabla}_{\vec{p}_2} + \vec{K}_{12} (\vec{\nabla}_{\vec{p}_1} - \vec{\nabla}_{\vec{p}_2}) \right] f_2 = 0$$

Now if two particles interact



The COM momentum  $\vec{p}_1 + \vec{p}_2$  will not change  
whereas the relative momentum  $\vec{p}_1 - \vec{p}_2$  changes  
quite dramatically over the time scale of  
the collision.

Likewise, the COM coordinate  $\vec{r}_1 + \vec{r}_2$   
changes slowly whereas the relative coordinate  
changes appreciably



So we have

$$\left[ \frac{\partial}{\partial t} - \nabla_{\Delta \vec{p}} \cdot \vec{v} + \vec{K}_{12}(\Delta \vec{r}) \cdot \nabla_{\Delta \vec{p}} \right] f_2 \approx 0$$

Now we have  $\frac{\partial}{\partial t}$  can be very large on the small scale

However what we need for coarse grained description of our body distribution

$$\frac{\partial f_1}{\partial t} \Big|_{\text{coarse}} = - \int d\vec{r}_2 \vec{K}_{12} \cdot \nabla_{\vec{r}_2} f_2$$

averaged over a time scale  $\Delta t \gg t_{\text{coarse}}$

Since rapid changes of  $f_2$  do not contribute to long time average, we approximate  $f_2$  by quasi-stationary solution ( $\frac{\partial}{\partial t} f_2 \approx 0$ ).

$$\vec{K}_{12}(\Delta \vec{r}) \cdot \nabla_{\Delta \vec{p}} f_2 \approx \nabla_{\Delta \vec{p}} \cdot \vec{v} f_2$$

So we have

$$-\vec{K}_{12}(\Delta \vec{r}) \cdot (\vec{v}_1 - \vec{v}_2) f_2 = -(\vec{v}_1 - \vec{v}_2) \cdot \nabla_{\Delta \vec{p}} f_2$$



Now integrating over  $S_{dR_2}$  the  $\vec{\nabla}_{\Delta P}$  calculation gives a vanishing boundary term

$$\left. \frac{dI_1}{dt} \right|_{\text{cosm}} = \int_{dR_2} (\vec{v}_2 - \vec{v}_1) \cdot \vec{\nabla}_{\Delta P} \frac{1}{2} (t_1, \vec{r}_1, \vec{p}_1, \vec{r}_1 + \Delta \vec{r}, \vec{p}_2)$$

where 
$$S_{dR_2} = \int \frac{d^3 r_2 d^3 p_2}{(2\pi\hbar)^3} = \int \frac{d^3 r_2}{(2\pi\hbar)^3} \int d^3 \Delta r$$

Now for each  $\vec{p}_1, \vec{p}_2$  we can decompose  $\Delta \vec{r}$  into components parallel and perpendicular to  $(\vec{v}_2 - \vec{v}_1)$

$$\Delta \vec{r} = \frac{(\vec{v}_2 - \vec{v}_1)}{|\vec{v}_2 - \vec{v}_1|} z + \vec{b}$$

then 
$$(\vec{v}_2 - \vec{v}_1) \cdot \vec{\nabla}_{\Delta r} = |\vec{v}_2 - \vec{v}_1| \frac{\partial}{\partial z}$$

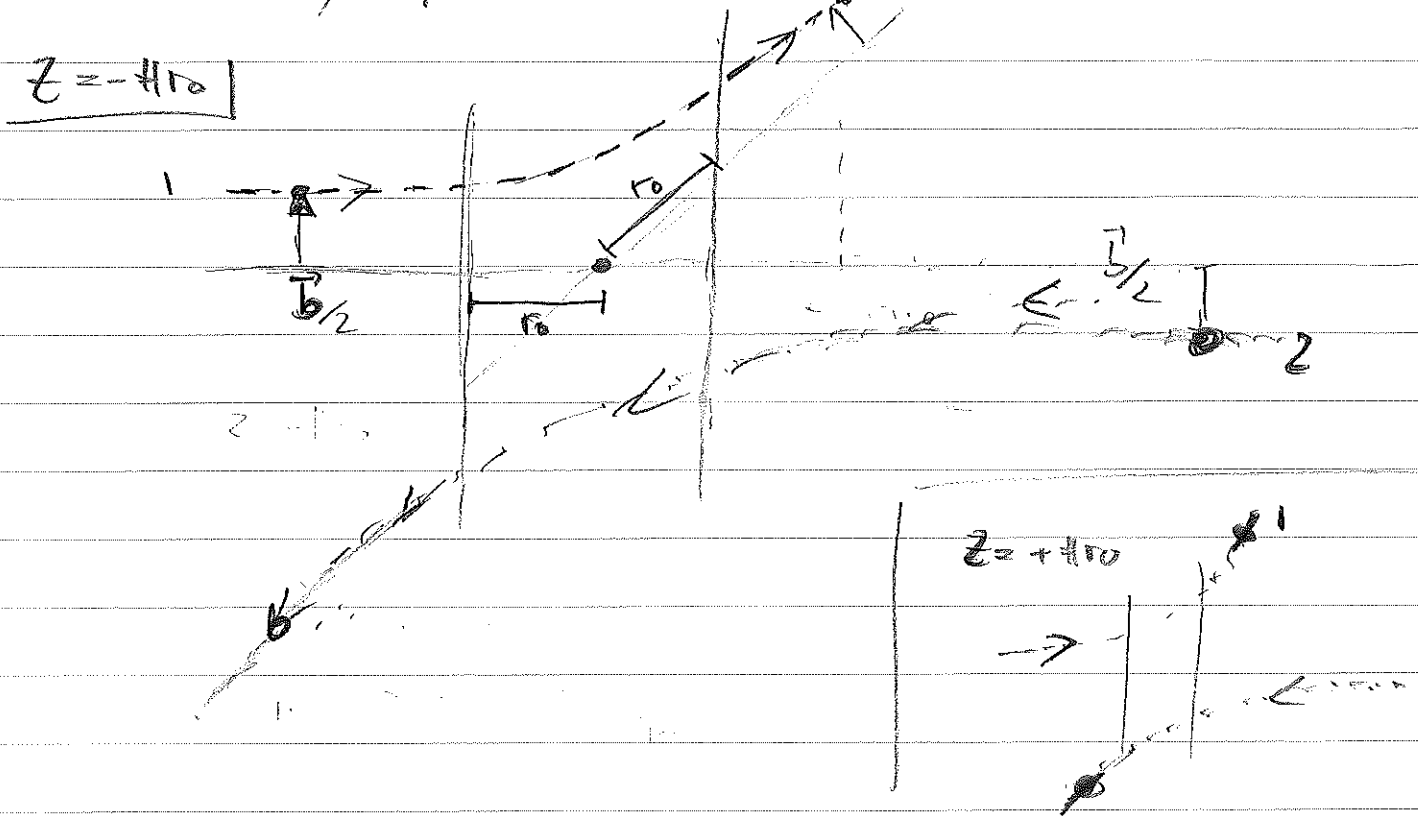
and 
$$\int d^3 \Delta r = \int dz \int d^2 b$$

$$\left. \frac{dI_1}{dt} \right|_{\text{cosm}} = \int \frac{d^2 b d^3 r_2}{(2\pi\hbar)^3} |\vec{v}_2 - \vec{v}_1|$$

$$\times \left[ \frac{1}{2} (t_1, \vec{r}_1, \vec{p}_1, \vec{r}_1 + \vec{b} + z\vec{e}_z, \vec{p}_2) \right]_{z=-\hbar r_0}^{z=\hbar r_0}$$

where  $\hbar r_0$  is a number of order unity

Now the key difference between upper and lower integrals limit is that it describes  $(z = -\hbar t_0)$  a situation directly before an interaction or  $(z = +\hbar t_0)$  situation directly after an interaction.



Note that after interaction particles are correlated  $\rightarrow$  non-trivial correlations can not employ Stobbe's ansatz

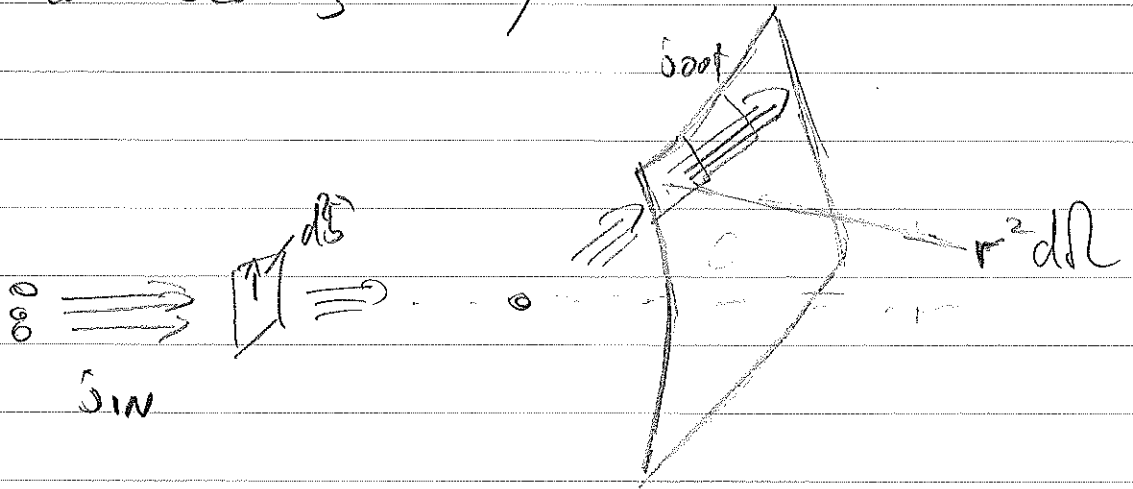
However since classical trajectories are deterministic can express probability to find 1, 2 after collision in terms of probability to find 3, 4 before

$$P_2(t, \vec{r}_1, \vec{p}_1, \vec{r}_1 + b + \hbar z_0 \vec{e}_z, \vec{p}_2) = P_2(t, \vec{r}_0, \vec{p}_3, \vec{r}_1 + b - \hbar z_0 \vec{e}_z, \vec{p}_4)$$

where  $P_{3/4} = P_{3/4}(b, \vec{r}_1, \vec{p}_2)$

Now to obtain Boltzmann equation in usual form still need to express  $\int d\Omega$  in terms of unnumbered integrals

Corresponding relation is given by classical scattering theory



$$\left| \frac{S_{out}}{S_{in}} \right| (r_{com}, \theta) = \frac{1}{r^2} \frac{d\sigma}{d\Omega}$$

Now if we integrate over all solid angles at some distance the total fluxes have to match

$$\begin{aligned} \int S_{out} d\Omega &= \int S_{in} r^2 d\Omega \\ &= \int \frac{d\sigma}{d\Omega} d\Omega S_{in} \end{aligned}$$

where  $\Omega$  is the scattering angle between the outgoing particles

So collecting everything

$$\frac{dI}{dt} \Big|_{\text{coll}} = \int \frac{d^3 p_2}{(2\pi\hbar)^3} \int \frac{d\Omega}{d\Omega} d\Omega |\vec{v}_2 - \vec{v}_1| \left[ f_2(t, \vec{r}_1, \vec{p}_3, \vec{r}_1 + \vec{b} - \hbar \vec{e}_z, \vec{p}_4) - f_2(t, \vec{r}_1, \vec{p}_1, \vec{r}_1 + \vec{b} - \hbar \vec{e}_z, \vec{p}_2) \right]$$

Due to coarse graining, we can neglect  $\vec{b} - \hbar \vec{e}_z$  in the second argument of  $f_2$  and employ the Stofzahlansatz

$$f_2(t, \vec{r}_1, \vec{p}_3, \vec{r}_1, \vec{p}_4) = f_1(t, \vec{r}_1, \vec{p}_3) f_1(t, \vec{r}_1, \vec{p}_4)$$

etc.

to obtain the Boltzmann equations

We also learned that the transition rate  $\Rightarrow$  in fact given by

$$\tilde{W}(p_1 p_2 \rightarrow p_3 p_4) \frac{d^3 p_3}{(2\pi\hbar)^3} \frac{d^3 p_4}{(2\pi\hbar)^3} = \frac{d\Omega}{d\Omega} d\Omega |\vec{v}_2 - \vec{v}_1|$$